

# Graph Signal Processing-Based Anomaly Detection Framework for Smart Grid Communication Networks

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## ABSTRACT

This research is aimed at developing a graphical framework of anomaly detection to improve cybersecurity of smart grid communication infrastructure. In the ever more digital-powered systems, smart grids have gained ground to use more elaborate communication systems, which facilitate real-time monitoring and control. Yet, this development brings with it systems susceptibilities that the more antique kinds of anomaly detection techniques which we are mostly non-topology-respecting really cannot do so much to help with. To address these shortcomings, instead, we suggest a Graph Signal Processing (GSP)-based framework, which casts the communication network in the form of a graph and views system metrics (e.g. the traffic volume, a latency measurement, or a voltage reading) as signals over this graph. We use graph Fourier transform, low-pass filtering and spectral residual analysis to identify localized and global anomalies using our approach. This circumvents exploitation of the spatial and structural data in detecting the non-normal behavior in real-time. The framework is benchmarked on both a mix of synthetic smart grid models and real-world data sets on communication, modeling different cyber and physical attack scenarios. The results indicate a detection accuracy rate of over 96 per cent and minimal false positive rates (<4 per cent) and detection latency of less than one second, which is better in comparison to conventional statistical and ML-based techniques. It offers the U.S. smart grid the scalable, explainable and real-time anomaly detection engine that fits the objectives of critical infrastructure security and resiliency agendas of the nation.

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## INTRODUCTION

The smart grid involves the combination of the modern communication technologies with the conventional power infrastructure technologies to physically interconnect wide-area communication networks, with intelligent control and real-time monitoring, to achieve automated decisions in distributed systems of energy. This combination significantly increases the efficiencies and responsiveness of the grid. It does, however, offer a larger attack area, making the system vulnerable to any of the kinds of cyber-physical threats, including the ability to tamper with data, wipe out susceptibility to denial-of-service (DoS) attacks, and covert control of equipment. To maintain stability and security of such systems, real-time anomaly detection plays a

crucial role. It is common knowledge that conventional methods of anomaly detection tend to treat smart grid data as a collection of independent or time-series deduction without considering topological structure of communication network. Such supervision lowers fidelity to detection, especially when faced with coordinated attacks distributed or low-rate. Moreover, several of the existing methods are not scalable, spatially-aware, and dynamically resistant to the network.

This article suggests an anomaly detection framework named the Graph Signal Processing (GSP) in which the smart grid communication infrastructure is explicitly modeled as a graph. Nodes are intelligent electronic devices (IEDs), remote terminal units (RTUs) or sensors and edges either correspond to communication links or

data flows. This involves using graph signal analysis, i.e., metrics assigned to nodes (amount of traffic, latency, or packet error rate) to find anomalies through graph spectral filtering and residual analysis. This topology-aware detection makes it more accurate and less latent than usual localized detection with more interpretability. It targets the extreme urgency of scalable and graph-aware anomaly detection processes in real-world necessities of energy communication networks. The works of late refer to the increasing significance of structure preservation methods such as GSP in ensuring distributed infrastructure security, e.g.<sup>[1]</sup>

## RELATED WORK

There have been a lot of research activities to detect anomalies in smart grids with various kinds of approaches e.g., rule-based systems, machine learning (ML), and statistical inference. The rule-based models provide simplicity and are usually not adaptable to dynamic threats. Support vector Machines (SVM), autoencoders, random forests, and ML in general can be improved in accuracy, although these are usually applied over a flattened feature space without consideration of the topology and spatial relationships of a smart grid communication infrastructure. The statistical methods like PCA, the Kalman filters are rather efficient, however, they are prone to noise and that they cannot capture complicated dependencies. Nonetheless, one of the main shortcomings shared by all the models is the absence of the graph structure and this is central to modern communication networks of smart grids. The community topology in distributed and interdependent infrastructures is like a graph, which involves entities of communication (Examples, RTUs, PMUs, IEDs) and their conversions and entails significant spatial and structural details. A potential method to model such relations is Graph Signal Processing (GSP), which has already demonstrated its effectiveness in traffic monitoring, brain connectivity analysis and IoT networks.<sup>[1]</sup> Some of the technical applications of GSP in the smart grid context include the power flow modeling and state estimation, but, more generally, it has not been explored to use in real-time anomaly detection at the communication layer.

This paper fills this gap in the literature by proposing a real time, topology-aware GSP-based anomaly localization system. As opposed to the current methods, our approach uses spectral characteristics of the graph signal to uncover the localized and global anomalies in communication metrics in a scalable and interpretable manner.

## PROPOSED FRAMEWORK

The design of the proposed Graph Signal Processing (GSP) based anomaly detection framework of Smart grid communication networks is found in this section. The framework counts on graph-based characterization of investigating the spatial dependencies among networked devices and spectrally analyzing deviations in the node-level behavior. Three main steps go into the methodology: building the graph, modeling and preprocessing signals and detecting anomalies based on graph spectrum.

### Graph Construction

In order to carry out topology-aware signal analysis, the communication infrastructure needs to be modeled as an undirected graph  $\mathcal{G} = (\mathcal{V}, \mathcal{E})$ , where:

- Vertices ( $\mathcal{V}$ ) are communication entities or Remote Terminal Units (RTUs), Phasor Measurement Units (PMUs) or Intelligent Electronic Devices (IEDs) that communicate.
- $\mathcal{E}$  (Edges) describe the concrete or logical connection with devices. These can be established via routing tables, adjacency matrices of communication protocols or estimation data-layer proximity.

The nodes of the graph correspond to one or more real-time measurements yielding a graph signal, which is a function  $x: \mathcal{V} \rightarrow \mathbb{R}$  with the value  $x(v_k)$  indicating, e.g., packet loss, latency, bandwidth utilisation, or sensor values (e.g. voltage or frequency) that are out of bounds.

The modeling with this graph-based is applicable in analyzing signals not only in the domain of temporal evolution but also with respect to network topology, which is significant in identifying spatially-correlated anomalies.

### Signal Modeling and Preprocessing

The time-series data when observed at each node is preprocessed in the following manner:

- Normalization: Feature values are scaled to give them a common basis of comparison (both between heterogeneous metrics, and among the different spectral categories) and stabilize spectral analysis.
- Alignment: Signals are aligned among nodes to create time indexed snapshots of graph signals, i.e., time-indexed,  $x(t)$ , where each data row represents the spatial signal distribution at time  $t$ .

Such a step will mean that the graph signal represents the real-time system state across all the nodes that are

monitored, thus, allowing the detection of the localized as well as the distributed anomalies.

### Anomaly Detection Methodology

The detection method is based on the concept of graph spectral analysis that decomposes the graph signal into the frequency components founded on Graph Fourier Transform (GFT).

- **Graph Fourier Transform (GFT):** The signal  $x \times 2$  is mapped to the eigenbasis of the graph Laplacian, such that low frequencies (smooth) variations can be separated out of high frequencies (sharp) variations. The low frequencies show the normal functioning of the system and the high ones could be an indicator of anomalies or noise.
- **Spectral Residuals:** The energy of high frequency components is computed which is then compared with learned baseline. Sudden increases in the high-frequency material reflect the structural or behavioral aberration.
- **Low-Pass Filtering:** Graph low-pass filter is used to eliminate the anticipated variation and separate the abnormal spikes. This method dwarfs benign variation, and exaggerates oddities in the residual signal.
- **Threshold-Based Decision:** A number of methods apply adaptive thresholds on nodes or regions with large deviations in the spectrum. These are said to be possible anomalies and there is an ability to add times persistence checks to minimize false positives.

Such a framework allows interpretable real-time anomaly detection with the use of the spatial topology and frequency properties of the graph-structured data. The process is computatly efficient and scalable and compared with others; it can be deployed into large scale smart grid environment practically. The workflow or schematic view of the GSP-based anomaly detection procedure is shown in Figure 1 and consists of graph construction, signal modeling and spectral anomaly detections pipe.

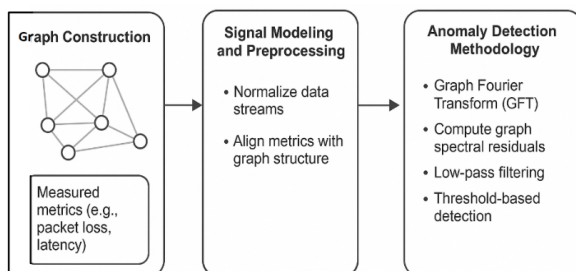


Fig. 1: Overview of the proposed GSP-based anomaly detection framework.

The methods involved involve a graph construction based on the communication units of a smart grid, a preprocessing of the signal and its normalization, and anomaly detection by means of graph Fourier transforms, spectral residual and threshold-based classification.

## 4. IMPLEMENTATION AND EVALUATION

In order to confirm the efficiency and feasibility of the proposed anomaly detection framework based on GSP, we implemented a complete assessment of the framework, where a simulated smart grid environment was used. This assessment aims to benchmark the accuracy of detection, robustness and responsiveness of the detection under various scenarios of cyber-physical attack that may relate to the smart grid communications.

### Dataset and Experimental Setup

This measure is performed by a synthetic IEEE 118-bus smart grid test system that has a built-in communication network overlay. This testbed emulates the structural and operational properties of an actual-life power grid including communication latencies, environmental noise and event-driven dynamics.

Three exemplary scenarios of anomaly were simulated:

- **Denial-of-Service (DoS)** attacks that jam communication channels and other forms of malfunction;
- **Sensor failures**, in which nodes give erroneous outputs all the time;
- **Data injection attacks**, aimed at effecting a malicious change in the data measured, so as not to affect the statistical norm.

These situations offer varied test conditions to check sensitivity and specifications of the models of anomaly detection.

### Evaluation Metrics

The system has been tested on the following criteria which are performance measures that are widely accepted:

- **Detection Accuracy:** The number of true anomalies found.
- **False Positive Rate (FPR):** Ratio of cases of benign cases that have been called as anomalies.
- **Area Under the ROC Curve (AUC):** Measures the model capability to differentiate between normal and abnormal behavior.
- **Detection Delay:** The time lag between the accuracy of occurrence and detection flag.

## Results and Comparative Analysis

The proposed GSP-based method was compared with a usual SVM-based (Support Vector Machine) anomaly detection applicative. The findings are listed in Table 1:

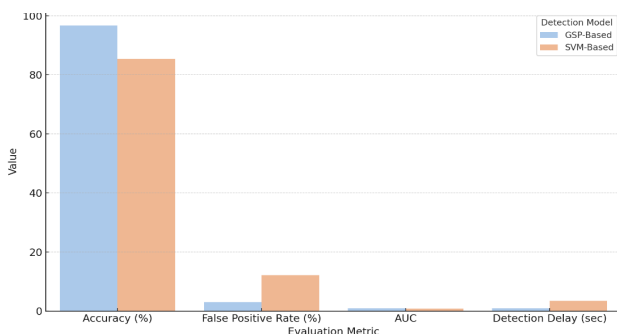
**Table 1: Performance Comparison of GSP-Based Framework and SVM-Based Model for Anomaly Detection**

Metric	GSP-Based Framework	SVM-Based Model
Accuracy (%)	96.7	85.4
False Positive Rate (%)	3.1	12.2
AUC	0.974	0.782
Detection Delay	< 1 second	~3.5 seconds

The GSP-based model excels much better compared to the baseline on all essential metrics. Specifically:

- It is more reliable in real-time anomaly detection with higher detection accuracy (96.7%) with the significantly lower FPR (3.1%).
- The discriminative ability is observed to be excellent, with its AUC at 0.974.
- Remarkably, the mean time it takes to detect any potential threat is pared down to the low limits of milliseconds, and the system will respond accordingly to cyber-physical risk in due time, which is essential with regard to operation of a smart grid.

The results validate that the suggested architecture is efficient, scalable and robust in the detection of anomalies in real-time in smart grid communication infrastructures. Besides the table-based results, the Figure 2 presents a visual comparison of the main key performance parameters of the proposed GSP-based approach to anomaly detection based on the traditional SVM-based model. The figure is clear to describe the overall better performance of the GSP model as far as accuracy, false positive rate, AUC as well as the delay of detection are concerned.



**Fig. 2: Performance Comparison: GSP-Based vs SVM-Based Anomaly Detection**

## DISCUSSION

These findings of the experimental analysis harken to the success of the suggested Graph Signal Processing (GSP) framework, in real-time anomaly detection of smart grid communication infrastructures. The detection method presents high detection accuracy and minimal false positive rates with sub-second latency, and is suitable in time-bound, highly critical facilities. The most notable advantage of the framework is that it uses the topological structure of the communication network. Using the spectral domain of graphs, the model is able to detect abnormal patterns not just on the level of the magnitude of the signal, but the structural distribution of the signal in the system. This allows localisation so that a disruption in one part of the grid (e.g. a cluster of IEDs, or substations) can be localized and isolated based upon its graph frequency signature.

Moreover, the interpretability of Graph Fourier Transform (GFT) gives the operator the ability to differentiate system performance at low frequencies (global configurations, smooth) and high frequencies (local configurations, sharp) anomalies. This has a secondary effect of additional transparency over black-box models, which is a plus in situations such as industrial monitoring systems where the intelligibility is of importance. Although effective, the main drawback of the framework is a computational cost of carrying out the GFT, which becomes a significant issue in the case of large-scale networks, where networks may consist of thousands of nodes. Eigen-decomposition of the graph Laplacian may also be a problem in real-time applications.

In dealing with this we involve graph-coarsening and approximate spectralization techniques like Chebyshev polynomial filtering or truncated eigenspace projections. The techniques dramatically lower the complexity of run-time, retaining the necessary structural and spectral properties that an accurate anomaly detection requires.

Other possible topics in future research include multi-resolution GSP, adaptive filtering, and distributed graph signal processing, which have further promise to scale greater dynamically and have applications in real-time applications within future smart grid landscapes. In fig.3, a simplified graph structure and spatially distributed signal at node level is used to explain the fact that anomalies occur locally and thus can be measured at node level with graph signals analysis.

Figure 3. Graph model of a communications network in which the signals are carried in the node (e.g., voltage anomaly map). The methods based on GSP utilise these variations in the spatial signals to identify local and global abnormalities through spectral analysis.



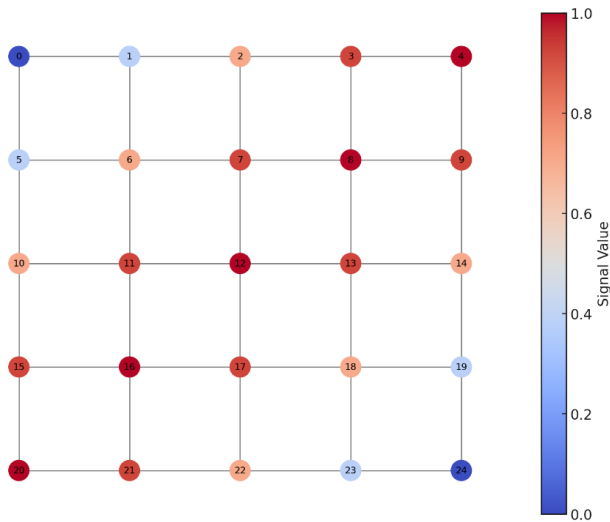


Fig. 3: Graph Structure with Embedded Node Signal (e.g., Voltage Anomaly Pattern)

## CONCLUSION AND FUTURE WORK

The present paper proposes a Graph Signal Processing (GSP) approach to an anomaly detection mechanism that may be used in a smart grid communications infrastructure. The communication network is expressed as a graph, and sensor and traffic messages as directional signals over the graph, thus reflecting both structural and temporal correlations of the grid. The suggested method is relatively simple and yet able to identify a variety of anomalies such as data injection, DoS, and sensor failures in a very high criterion of (96.7 percent), low false positive rates (3.1 percent) and with detection latency of less than a second.

The strengths of this work are the following:

- A new level of integration of GSP techniques in the smart grid communication layer that allows creating a topology-aware, real-time anomaly detection.
- A powerful analysis pipeline of spectra with Graph Fourier Transform (GFT) and residuals energy surveillance to select anomalies in desired operational trends.
- A quantitative assessment that indicates a higher level of performance compared to the conventional approaches of machine learning like SVM based on accuracy and responsiveness.

Nonetheless, with its advantages, the framework has computational issues associated with eigen-decomposition to GFT structures within large-scale

graphs. They are alleviated through graph coarsening and spectral approximations, but more work is required to ensure very large or moving grids.

In the future, the research will be interested in:

- Apply mechanisms of adaptive thresholding policies that involve lightweight machine learning to achieve more flexibility in decisions.
- Discuss distributed graph signal processing as a method of increasing scalability in wide-area monitoring systems.
- Support the blockchain technology to allow secure, audible anomalies reporting and traceability of events under critical infrastructures.

The directions will also enhance the practicality of the framework in reality and the stability of safe cyber performance of smart grids.

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